

PATENT

Docket No. 3178-4033US1

Express Mail Label No. EH 057147961 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

First named Inventor

or Application Identifier: Walker et al.

For:

REMOTE-AUDITING OF COMPUTER GENERATED OUTCOMES AND  
AUTHENTICATED BILLING AND ACCESS CONTROL SYSTEM USING  
CRYPTOGRAPHIC AND OTHER PROTOCOLS

Enclosed are

☒ 88 page(s) of specification, 1 page(s) of Abstract, 30 page(s) of claims (as originally filed in the parent application)

☒ 35 sheets of drawing (Figs 1-35)    ☐ formal    ☒ informal (as originally filed in the parent application)

☒ 2 page(s) of Declaration and Power of Attorney

☐ Unsigned

☐ Newly Executed

☒ Copy from prior application

☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

☒ A copy of a Revocation and New Power of Attorney

☒ Please direct all correspondence and all telephone calls in this matter to:

Walter G. Hanchuk (Reg. 35,179)  
Morgan & Finnegan LLP  
345 Park Avenue  
New York, NY 10154  
(212) 758-4800

- ☒ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.
- ☐ Microfiche Computer Program (Appendix)
- ☐ \_\_\_\_ page(s) of Sequence Listing
  - ☐ computer readable disk containing Sequence Listing
  - ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☒ Letter to the Draftsperson enclosing 35 sheets of formal drawings (Figs 1-35)
- ☐ Certified copy of Priority Document(s)
  - ☐ English translation documents
- ☒ Information Disclosure Statement
  - ☐ Copy of \_\_\_\_ cited references
- ☒ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
  - ☐ A check in the amount of \$40.00 for recording the Assignment.
  - ☒ Assignment papers filed in parent application Serial No. 08/685,706.
  - ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).

**A1** ☒ This is a ☒ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. 08/685,706, herein incorporated by reference.

☒ Cancel in this application original claims 19-66, 68-112 & 114-176 of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

☒ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered

original claim in the prior application.

☒ The status of the parent application is as follows:

☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_

☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.

☒ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.

☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.

☐ Transfer the drawing(s) from the parent application to this application.

☒ Amend the specification by inserting before the first line the sentence:

This is a ☒ continuation ☐ divisional ☐ continuation-in-part of co-pending application  
Serial  
No. 08/685,706 filed July 24, 1996, herein incorporated by reference.

#### I. CALCULATION OF APPLICATION FEE

					Basic Fee
	Number Filed	Number Extra	Rate		\$ 790.00
Total					
Claims	20	-20= 0	x \$22.00		\$ 0.00
Independent					
Claims	3	- 3= 0	x \$82.00		\$ 0.00

#### Multiple Dependent Claims

☐ yes Additional fee = \$270.00\$ \$  
☐ no Additional fee = NONE

Total: \$ 790.00

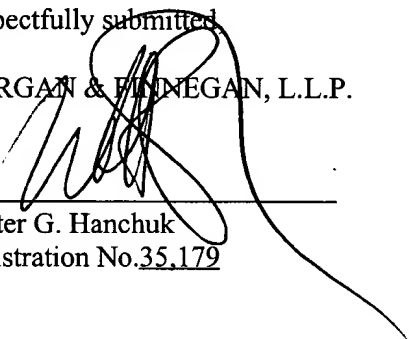
☒ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ 395.00.

- [ x ] A check in the amount of \$ 395.00 in payment of the application filing fees is attached.
- [ ] Charge Fee(s) to Deposit Account No. 13-4500. Order No. 3178-4033US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- [ x ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500 Order No. 3178-4033US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted

MORGAN & FINNEGAN, L.L.P.

Dated: July 8, 1998

By:   
Walter G. Hanchuk  
Registration No. 35,179

CORRESPONDENCE ADDRESS:

Morgan & Finnegan L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile

PATENT

Docket No. 3178-4033US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jay S. Walker, Bruce Schneier,  
and James A. Jorasch

Prior Application  
GROUP ART UNIT: 3642  
EXAMINER: Cain, D.

SERIAL NO.: TBA

FILED: July 8, 1998

FOR: METHOD AND APPARATUS FOR A CRYPTOGRAPHICALLY ASSISTED  
COMMERCIAL NETWORK SYSTEM DESIGNED TO FACILITATE AND  
SUPPORT EXPERT-BASED COMMERCE

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EH 057147961 US

Date of Deposit July 8, 1998

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

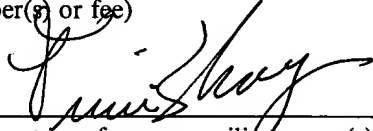
Box Patent Application

Sir:

I hereby certify that the attached Utility Patent Application and Fee Transmittal (1.53(b)); Continuation patent Application (enclosing 88 pages of specification, 1 pages of abstract, 30 pages of claims and total 35 sheets of informal drawings, Figs 1-35) which is a true copy of parent application Serial No. 08/685,706; Letter to the Official Drafts Person enclosing 35 sheets of formal drawings (Figs. 1-35); PTO Form 1449; Information Disclosure Statement; copy of previously filed Declaration and Power of Attorney(3 pages); copy of previously filed Revocation of Power of Attorney and New Power of Attorney(2 pages); Preliminary Amendment; return Postcard and this Express Mail Certificate, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Vivian Zhang

(Typed or printed name of person mailing  
paper(s) or fee)

  
(Signature of person mailing paper(s) or fee)

Mailing Address:

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LETTER TO OFFICIAL DRAFTPERSON

Assistant Commissioner For Patents  
Washington, D.C. 20231  
**Attn: OFFICIAL DRAFTSMAN**

Sir:

Enclosed herewith are thirty five (35) sheets of formal drawings (Figs. 1-35) for the  
above-referenced U.S. patent application.

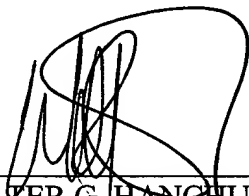
In the event that an extension of time is required, or which may be required in addition to  
that requested in a petition for an extension of time, the Commissioner is requested to grant a petition for  
that extension of time which is required to make this response timely and is hereby authorized to charge  
any fee for such an extension of time or credit any overpayment for an extension of time

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to Deposit Account No. 13-4500, Order No. 3178-4033US1. A DUPLICATE OF THIS DOCUMENT  
IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: July 8, 1998

By:   
WALTER G. HANCHUK  
Registration No. 35,179

Mailing Address:  
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